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PTO/SB/21 (04-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/698,099
Filing Date	October 31, 2003
First Named Inventor	Schenk, Dale B.
Art Unit	1614
Examiner Name	Unassigned
Attorney Docket Number	015270-008930US

Total Number of Pages in This Submission

5

**ENCLOSURES (Check all that apply)**

- |  |  |  |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Supplemental Information<br>Disclosure Statement ( 2 pp.)<br>PTO/SB08A (1 pg.) PTO/SB08B (1 pg.)<br>*references AA-AM<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br>Return Postcard |
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Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
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\*Not included in the "Total Number of Pages In This Submission"

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Townsend and Townsend and Crew LLP Rosemarie L. Colli	Reg. No. 42,397
Signature		
Date	September 28, 2004	

**CERTIFICATE OF TRANSMISSION/MAILING**

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I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date August 5, 2004 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Daniel Miranda

Signature

Date

September 28, 2004



PATENT  
Attorney Docket No.: 015270-008930US  
Client Reference No.: 334-US-NEW3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dale B. Schenk et al.

Application No.: 10/698,099

Filed: October 31, 2003

For: PREVENTION AND TREATMENT  
OF SYNUCLEINOPATHIC DISEASE

Examiner: Unassigned

Art Unit: 1614

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

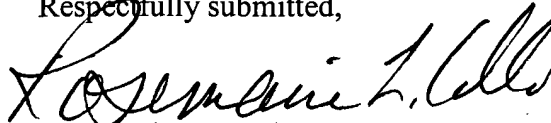
The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Rosemarie L. Celli". The signature is fluid and cursive, with a large initial "R".

Rosemarie L. Celli  
Reg. No. 42,397

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60307810 v1

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/698,099	
			Filing Date	October 31, 2003	
			First Named Inventor	Schenk, Dale B.	
			Art Unit	1614	
			Examiner Name	Unassigned	
Sheet	2	of	2	Attorney Docket Number	015270-008930US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AD	BODLES et al., "Toxicity of non-A $\beta$ component of Alzheimer's disease amyloid, and N-terminal fragments thereof, correlates to formation of $\beta$ -sheet structure and fibrils," <u>Eur J. Biochem.</u> , 267:2186-2194 (2000).	
	AE	CAO et al., "Development of an Alpha Synuclein Recombinant Protein as a Potential Candidate Against Parkinson's Disease," Program No. 594.13, Abstract Viewer/Itinerary Planner, Washington D.C.: Society for Neuroscience, 2002.	
	AF	CULVENOR et al., "Non-A $\beta$ Component of Alzheimer's Disease Amyloid (NAC) Revisited, NAC and $\alpha$ -Synuclein Are Not Associated with A $\beta$ Amyloid," <u>Am. J. Pathology</u> , 155(4):1173-1181 (1999).	
	AG	HASHIMOTO et al., "Alpha-synuclein in Lewy Body Disease and Alzheimer's Disease," <u>Brain Pathology</u> , 9:707-720 (1999).	
	AH	HSU et al., " $\alpha$ -Synuclein Promotes Mitochondrial Deficit and Oxidative Stress," <u>Am. J. Pathology</u> , 157(2):401-410 (2000).	
	AI	JENSEN et al., "Residues in the synuclein consensus motif of the alpha-synuclein fragment, NAC, participate in transglutaminase-catalysed cross-linking to Alzheimer-disease amyloid beta A4 peptide," <u>Biochem. J.</u> , 310(Pt 1):91-94 (1995).	
	AJ	MASLIAH et al., "Dopaminergic Loss and Inclusion Body Formation in $\alpha$ -Synuclein Mice: Implications for Neurodegenerative Disorders," <u>Science</u> , 287:1265-1268 (2000).	
	AK	NCBI database search result for P37840 Alpha-synuclein conducted 10/21/02 at <a href="http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;DB=protein&amp;list_uids=58">http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;DB=protein&amp;list_uids=58</a>	
	AL	NCBI database search result for NP_009292 synuclein, alpha conducted 10/21/02 at <a href="http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=protein&amp;list_uids=68">http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=protein&amp;list_uids=68</a>	
	AM	UEDA et al., "Molecular cloning of cDNA encoding an unrecognized component of amyloid in Alzheimer disease," <u>PNAS</u> , 90:11282-11286 (1993).	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.